

APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES DURING CONSTRUCTION

To: NIPPON KAIJI KYOKAI

| | |
|---------------------------|-------------------|
| Application Document No.: | Application Date: |
|---------------------------|-------------------|

APPLICANT

| | | | |
|-----------------|--|--|--|
| Name Address | | Signature and/or Official Stamp of Applicant | |
| | | Name in Block Capitals | |
| | | TEL | |
| | | FAX | |

We hereby request that you carry out the survey for registration and issue the certificates described in the attached Form 1A-1. This request is made on the basis that we accept the provisions of REGULATIONS FOR THE CLASSIFICATION AND REGISTRY OF SHIPS, CONDITIONS OF SERVICE FOR CLASSIFICATION OF SHIPS AND REGISTRATION OF INSTALLATIONS and REGULATIONS FOR THE ISSUE OF STATUTORY CERTIFICATES (as well as the provisions of REGULATIONS FOR TECHNICAL SERVICES when requesting technical services) of NIPPON KAIJI KYOKAI and that we allow flag authorities and authorized audit teams on board for the purpose of their assessing NIPPON KAIJI KYOKAI's compliance with applicable rules, regulations and quality standard. We agree to pay all survey fees and expenses incurred as a result of the above-mentioned survey and issuance of relevant certificate(s), regardless of whether class and/or installations are registered or not.

SHIP INFORMATION ※ Main particulars of hull and machinery are to be filled in using separate Form 1A-1.

| | | | |
|--|--|--|--|
| Shipbuilder Name Address | <input type="checkbox"/> Same as applicant. <i>(If the shipbuilder and the applicant are the same, please tick this box. In such cases, the name and address of the shipbuilder does not need to be entered.)</i> | Yard/Hull Number | |
| | | "The date of building contract" ⁽¹⁾ or "the date on which the option is exercised" ⁽²⁾ | |
| | | Optional ship? ⁽²⁾ ⁽³⁾ | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Prospective Owner <i>(Ship Owner listed on the National Registry Certificate)</i> | <input type="checkbox"/> Undecided <input type="checkbox"/> Name | The date of building contract of the first sister ship ⁽³⁾ | |
| | | Yard/Hull Number of the first sister ship ⁽³⁾ | |
| | | Estimated Date of Keel Laying Estimated Date of Launch Estimated Date of Completion | |
| Proposed Flag | Port of Registry | Number & Type of Main Engine | |
| Purpose of Ship | Gross Tonnage | MCR of Main Engine | |
| Shore Archive ⁽⁴⁾ | <input type="checkbox"/> ClassNK / <input type="checkbox"/> Other () / <input type="checkbox"/> Undecided / <input type="checkbox"/> Not Applicable | | Other Class ⁽⁵⁾ |
| Navigation Area | <input type="checkbox"/> International / <input type="checkbox"/> Non-international / <input type="checkbox"/> Ocean going / <input type="checkbox"/> Non-ocean going (Please specify): | | |
| Operating in Polar Waters | <input type="checkbox"/> Apply (<input type="checkbox"/> Category A / <input type="checkbox"/> Category B / <input type="checkbox"/> Category C) / <input type="checkbox"/> Not Apply | | |

- (1) Please enter "The date of building contract" signed between the prospective owner and the shipbuilder or "its scheduled date". In cases where the scheduled building contract date has been changed, please inform us without delay.
- (2) If this ship is an optional ship, please enter "the date on which the option is exercised".
- (3) If this ship is an optional ship, please check the "Yes" box and enter "The date of building contract of the first sister ship" and "Yard/Hull Number of the first sister ship".
Where: Optional ship: a series of sister ships for which the option is ultimately exercised and built to the same approved plans for the classification purposes, under a single contract for construction.
First sister ship: the first ship of a series of sister ships built to the same approved plans for the classification purposes, under a single contract for construction.
If this ship is not the optional ship, please check the "No" box.
- (4) Please enter the name of shore archive (GBS-SCF) for ships subject to SOLAS Chapter II-1 Regulation 3-10 and submit an "Application for ClassNK Archive Center Service" separately if apply. If this ship is not applied, please check the "Not applicable" box.
- (5) Please enter the name of other class if dual or double class.

CLASSIFICATION / INSTALLATION REGISTRATION During Construction

| | | | | |
|----------------|--|--|--|--|
| Classification | Classification Characters and Notations applying for | NS* MNS* | | |
| | Descriptive Note(s) | | | |
| | Notations for Guideline | <input type="checkbox"/> Environmental Awareness (EA +) <input type="checkbox"/> High Voltage Shore supply System (HVSS) <input type="checkbox"/> Inventory of Hazardous Materials (IHM) <input type="checkbox"/> Mechanical Vibration Awareness (MVA) <input type="checkbox"/> Noise and Vibration Comfort (NVC·) | | |
| | Special Description | <input type="checkbox"/> n.s. <input type="checkbox"/> n.f. <input type="checkbox"/> Other (Please specify): | | |
| Installations | <input type="checkbox"/> Safety Equipment <input type="checkbox"/> Radio Installations <input type="checkbox"/> Marine Pollution Prevention Installations <input type="checkbox"/> Crew Accommodation Arrangements <small>(Applicable only to Japanese flag ships)</small> | | | |
| | <input type="checkbox"/> Anti-Fouling Systems <input type="checkbox"/> Ballast Water Management Installations <input type="checkbox"/> Cargo Handling Appliances | | | |
| | <input type="checkbox"/> Automatic and Remote Control Systems (<input type="checkbox"/> MC <input type="checkbox"/> MO <input type="checkbox"/> MO · A <input type="checkbox"/> MO · B <input type="checkbox"/> MO · C <input type="checkbox"/> MO · D) <input type="checkbox"/> Preventive Machinery Maintenance Systems | | | |
| | <input type="checkbox"/> Cargo Refrigerating Installations (<input type="checkbox"/> RMC <input type="checkbox"/> RMC · CA) <input type="checkbox"/> Navigation Bridge Systems (<input type="checkbox"/> BRS <input type="checkbox"/> BRS1 <input type="checkbox"/> BRS1A) | | | |
| | <input type="checkbox"/> Integrated Fire Control Systems (<input type="checkbox"/> IFC · M <input type="checkbox"/> IFC · A <input type="checkbox"/> IFC · AM) <input type="checkbox"/> Other (Please specify): | | | |

Attachments : Form 1A-1 Form 1A DG-BC Form 1A CG Form 1A-C Form 4A
 Submit later : Form 1A-1 Form 1A DG-BC Form 1A CG Form 1A-C Form 4A
 Omit to submit (Sister Ship Hull No.): Form 1A-1 Form 1A DG-BC Form 1A CG Form 1A-C Form 4A

BILLING CONTACT ※ Please complete the following only in cases where the billing contact and applicant are different.

| | | | |
|-----------------|-----|---------------------------------|--|
| Name Address | | Signature and/or Official Stamp | |
| | | Name in Block Capitals | |
| | TEL | FAX | |

| | | | |
|---------------------|--------------|-------------|--|
| For NK internal use | Receipt Date | Receipt No. | |
|---------------------|--------------|-------------|--|

Remarks:
 1. Please send this form to the nearest ClassNK branch office.
 2. If any of the above required items are not determined at the time of the application, please fill in the relevant columns as "Undecided".
 3. If any of the information provided in this form changes or if undecided items have been determined, please inform the nearest ClassNK branch office. In cases where ClassNK deems that any of the information included in this form needs to be altered during the Classification Survey process, the applicant will be notified.

SURVEY, CERTIFICATES & MAIN PARTICULARS

| | |
|------------------------|--|
| Date of Classification | |
| Survey Application | |
| Date of Submission | <input type="checkbox"/> Same as above / |

| | | | |
|-------------|---|------------------|--|
| Shipbuilder | <input type="checkbox"/> Same as applicant. | Yard/Hull Number | |
|-------------|---|------------------|--|

SURVEY AND ISSUANCE OF CERTIFICATES

※ The following information is not required for Japanese flag ships. Please submit an "APPLICATION FOR ISSUE OF CERTIFICATES (Form 4A)" separately.

| | | | | |
|--|---|---|--|--|
| Survey for Statutory Certificates and Issuance of Certificates (1) For Japanese flag ships, NK conducts surveys only. (2) For Japanese flag ships, the Japanese Government conducts surveys and issues certificate(s). (3) The combination forms of SC, SE, and SR Certificates. | Survey <input type="checkbox"/> | Certificate <input type="checkbox"/> Load Line (Please fill in the boxes of the main particulars of load lines.) <input type="checkbox"/> Passenger Ship Safety ⁽²⁾ <input type="checkbox"/> Cargo Ship Safety Construction <input type="checkbox"/> Cargo Ship Safety Equipment <input type="checkbox"/> Cargo Ship Safety Radio <input type="checkbox"/> Cargo Ship Safety ⁽³⁾ <input type="checkbox"/> Exemption (Fixed Fire-Extinguishing System) <input type="checkbox"/> Fitness for the Carriage of Dangerous Chemicals in Bulk ⁽¹⁾ <input type="checkbox"/> Fitness for the Carriage of Liquefied Gases in Bulk | Survey <input type="checkbox"/> | Certificate <input type="checkbox"/> Fitness for Ship Carrying Dangerous Goods ⁽¹⁾ (Please fill in the boxes of the main particulars of dangerous goods.) <input type="checkbox"/> Oil Pollution Prevention ⁽¹⁾ <input type="checkbox"/> Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk ⁽¹⁾ <input type="checkbox"/> Sewage Pollution Prevention ⁽¹⁾ <input type="checkbox"/> Air Pollution Prevention ⁽¹⁾ <input type="checkbox"/> Anti-Fouling System <input type="checkbox"/> Ballast Water Management ⁽¹⁾ <input type="checkbox"/> Grain Loading Booklet ⁽²⁾ <input type="checkbox"/> Energy Efficiency ⁽¹⁾ <input type="checkbox"/> Other (Please specify): |
| | Tonnage Certificates (4) For Japanese flag ships, the Japanese Government conducts measurements and issues certificate(s). | Measurement <input type="checkbox"/> | Certificate <input type="checkbox"/> International Tonnage ⁽⁴⁾ <input type="checkbox"/> PC/UMS Documentation of total volume ⁽⁴⁾ <input type="checkbox"/> Suez Canal ⁽⁴⁾ <input type="checkbox"/> National Tonnage ⁽⁴⁾ (Applicable rule:) | |
| Assignments (5) Applicable only to Japanese flag ships. | <input type="checkbox"/> Freeboard <input type="checkbox"/> Incinerator ⁽⁵⁾ <input type="checkbox"/> Lift for Crew etc. ⁽⁵⁾ | | | |

MAIN PARTICULARS OF HULL

| | | | |
|---|-----|---------------|--|
| Lpp x B x D (m) | x x | Deadweight | |
| Yard/Hull Number of Sister ship(s) ⁽¹⁾ | | Coal Carrying | <input type="checkbox"/> Yes <input type="checkbox"/> No |

(1) Please complete if sister ship(s) has been already registered in NK.

MAIN PARTICULARS OF MACHINERY

| | | |
|---|---|---|
| Main Engine | Model | |
| | Maximum Output ⁽¹⁾ & Revolutions per minute | kW · PS · RPM |
| | Manufacturer Workshop | |
| Application of Tier III NOx Regulation for Diesel Engines Installed Onboard | | <input type="checkbox"/> Applied <input type="checkbox"/> Not Applied |
| Propeller | Number, Type & RPM | · · · RPM |
| | Type of Propeller Shaft, etc. | <input type="checkbox"/> 1A <input type="checkbox"/> 1B <input type="checkbox"/> 1C <input type="checkbox"/> 1W <input type="checkbox"/> 2 |
| Boiler <input type="checkbox"/> Main <input type="checkbox"/> Auxiliary | Number & Maximum Working Pressure ⁽¹⁾ | · · · MPa · kg/cm ² |
| | Manufacturer Workshop | |
| Generator | Total Output | kVA |
| Use of Low-flashpoint Fuel | <input type="checkbox"/> Yes (<input type="checkbox"/> Natural gas / <input type="checkbox"/> Other:) <input type="checkbox"/> No | |

(1) For Japanese flagged ships, please select a SI unit.

MAIN PARTICULARS OF LOAD LINES

| | | | |
|------------------------|--|--|--|
| Type of Ship | <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> B+ <input type="checkbox"/> B-60 <input type="checkbox"/> B-100 | Timber Freeboard | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Intended Freeboard (m) | | <input type="checkbox"/> Multiple Load Line System | |

MAXIMUM NUMBER OF PERSONS ACCOMMODATED

| | |
|--|---------------------------------------|
| Maximum Number of Persons Accommodated | Total No.: (Passenger: Crew: Other:) |
|--|---------------------------------------|

MAIN PARTICULARS OF CARGO REFRIGERATING INSTALLATIONS

※ Please complete when requesting to register refrigerating installations.

| | |
|---------------------|--|
| Intended Notation | |
| Type of Refrigerant | Cooling System Total Capacity of Cargo Chamber m ³ |

DG CERTIFICATE & IMSBC CODE FITNESS CERTIFICATE

| | |
|---|--|
| Date of Classification Survey Application | |
| Date of Submission | <input type="checkbox"/> Same as above / |

| | | | |
|-------------|---|------------------|--|
| Shipbuilder | <input type="checkbox"/> Same as applicant. | Yard/Hull Number | |
|-------------|---|------------------|--|

DG CERTIFICATE ※ For Japanese flag ships, NK conducts surveys only.

| | | | | |
|-------------------------------|--|---|--|--|
| Solid Dangerous Goods in Bulk | Where loaded | <input type="checkbox"/> Cargo Hold No.: | <input type="checkbox"/> Other (Please specify): | |
| | Class of Dangerous Goods <i>(1) Please complete when restrictions apply to loading of specified cargo in order to meet relevant requirements.</i> | <input type="checkbox"/> 4.1 <input type="checkbox"/> 4.2 <input type="checkbox"/> 4.3 <input type="checkbox"/> 5.1 <input type="checkbox"/> 6.1 <input type="checkbox"/> 8 <input type="checkbox"/> 9 <input type="checkbox"/> Loading restrictions for Specified Cargo ⁽¹⁾ : | | |
| Other Dangerous Goods | Where loaded | <input type="checkbox"/> Weather Deck | <input type="checkbox"/> Cargo Hold No.: | <input type="checkbox"/> Other (Please specify): |
| | Class of Dangerous Goods <i>(1) Please complete when restrictions apply to loading of specified cargo in order to meet relevant requirements.</i> | <input type="checkbox"/> 1.1-1.6 <input type="checkbox"/> 1.4S <input type="checkbox"/> 2.1 <input type="checkbox"/> 2.2 <input type="checkbox"/> 2.3(<input type="checkbox"/> (flammable) <input type="checkbox"/> (non-flammable)) <input type="checkbox"/> 3 (all) <input type="checkbox"/> 3 (liquid: flashpoint (°C) <input type="checkbox"/> below 23° <input type="checkbox"/> between 23° and 60°) <input type="checkbox"/> 4.1 <input type="checkbox"/> 4.2 <input type="checkbox"/> 4.3(<input type="checkbox"/> liquid <input type="checkbox"/> solid) <input type="checkbox"/> 5.1 <input type="checkbox"/> 5.2 <input type="checkbox"/> 6.1 (All) <input type="checkbox"/> 6.1 (liquid: flashpoint (°C) <input type="checkbox"/> below 23° <input type="checkbox"/> between 23° and 60° <input type="checkbox"/> over 60° <input type="checkbox"/> solid) <input type="checkbox"/> 8 (All) <input type="checkbox"/> 8 (liquid: flashpoint (°C) <input type="checkbox"/> below 23° <input type="checkbox"/> between 23° and 60° <input type="checkbox"/> over 60° <input type="checkbox"/> solid) <input type="checkbox"/> 9 <input type="checkbox"/> Loading restrictions for Specified Cargo ⁽¹⁾ : | | |

IMSBC CODE FITNESS CERTIFICATE

| | |
|--------------------------------|--|
| IMSBC Code Fitness Certificate | <input type="checkbox"/> Group A cargoes (<input type="checkbox"/> with / <input type="checkbox"/> without restrictions on moisture content of cargoes) <input type="checkbox"/> Group C cargoes <input type="checkbox"/> Group B cargoes <i>(Please list all cargoes. If insufficient space, please continue on a separate sheet.)</i> |
| | <div style="border: 1px solid black; width: 100%; height: 100%; border-radius: 50%;"></div> |

Remarks:
In cases where it is impossible to submit this form at the same time as the application form, please make sure to submit this form before the submission of any drawings for approval (including maker drawings).

MAIN PARTICULARS OF CARGO GEAR

| | |
|------------------------|--|
| Date of Classification | |
| Survey Application | |
| Date of Submission | <input type="checkbox"/> Same as above / |

| | | | |
|-------------|---|------------------|--|
| Shipbuilder | <input type="checkbox"/> Same as applicant. | Yard/Hull Number | |
|-------------|---|------------------|--|

CARGO GEAR (DERRICK / CRANE)

| Location and description with distinguishing number or mark, if any | Intended safe working load (tons) - allowable minimum angle (degrees) or allowable maximum radius (meters) | | | |
|--|--|--------------|------|--------------|
| | Load | Angle/Radius | Dual | |
| | | | Load | Angle/Radius |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

UNION PURCHASE ✖ Please complete the following datasheet with detail.

| Location and description with distinguishing number or mark, if any | Intended safe working load (tons) - allowable minimum angle (degrees) or allowable maximum radius (meters) | | | |
|--|--|--------------------|--------------|--------------|
| | Safe working load | Maximum fall angle | Swing system | |
| | | | Load | Angle/Radius |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Datasheet for Union Purchase ⁽¹⁾ ⁽²⁾

- Location of Derricks: Fore / Aft of hatch no. ().
- Distance of lower guy eyes from upper deck ⁽³⁾: Inboard boom () meters
Outboard boom () meters
- Safe Working load in Union Purchase: () tons
- Fall angle: () degrees
- Allowable lifting height from upper deck: () meters
- Outside diameter and thickness of derrick boom: Inboard boom φmm × mm
Outboard boom φmm × mm

Remarks:

- (1) This form is to be prepared separately for each gang.
- (2) Camber, sheer, trim, and heel are to be ignored.
- (3) Enter the value 0 meters in cases where the guy eye is fixed to the upper deck.

VARIOUS ATTESTATION

| | |
|--|--|
| Date of Classification Survey Application | |
| Date of Submission | <input type="checkbox"/> Same as above / |

| | |
|--|---------------------|
| Shipbuilder <input type="checkbox"/> Same as applicant. | Yard/Hull Number |
|--|---------------------|

VARIOUS ATTESTATION

| | | |
|--|---|--|
| <p>Cargo Gear Certificate etc.</p> <p>(1) Including Loose gear (except ropes) & Rope. (2) These forms are to be prepared by the applicant.</p> | <input type="checkbox"/> Cargo Gear Book <input type="checkbox"/> Cargo Gear Certificate ⁽¹⁾ <input type="checkbox"/> Derrick <input type="checkbox"/> Derrick for Union Purchase <input type="checkbox"/> Crane <input type="checkbox"/> Ramp-way, Lift for Cargo <input type="checkbox"/> Other (Please specify): | <input type="checkbox"/> GN <input type="checkbox"/> JP ⁽²⁾ <input type="checkbox"/> PK ⁽²⁾ <input type="checkbox"/> Other ⁽²⁾ : <input type="checkbox"/> GN <input type="checkbox"/> JP <input type="checkbox"/> PK ⁽²⁾ <input type="checkbox"/> Other ⁽²⁾ : GN: Form for NK classed ships JP: Form for Japanese flag ships PK: Form for Pakistani service |
| <p>Document of Compliance for a Non-party to a Convention</p> | <input type="checkbox"/> Cargo Ship Safety Construction <input type="checkbox"/> Cargo Ship Safety Equipment <input type="checkbox"/> Cargo Ship Safety Radio <input type="checkbox"/> Oil Pollution Prevention <input type="checkbox"/> Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk <input type="checkbox"/> Other (Please specify): | |
| <p>Certificate of Fitness for National Regulations of Flag State (Please indicate applicable requirements in space provided.)</p> | | |
| <p>Attestation of USCG</p> | <input type="checkbox"/> Oil Pollution Prevention (33CFR Part155) <input type="checkbox"/> Liquid Gas Carrier (46CFR Part154) <input type="checkbox"/> Vapor Emission Control System (46CFR Part39) <input type="checkbox"/> Other (Please specify): | |
| <p>Other Certificate(s)</p> | <input type="checkbox"/> ILO Crew Accommodation <input type="checkbox"/> Attestation of Load Lines <input type="checkbox"/> Attestation of Deadweight <input type="checkbox"/> Certificate of Keel Laying <input type="checkbox"/> Other (Please specify): | |