

## APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES FOR AN EXISTING SHIP

To: **NIPPON KAIJI KYOKAI**

Application Document No. _____	Application Date _____
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### APPLICANT

Name Address	Signature and/or Official Stamp of Applicant
	Name in Block Capitals
	TEL
	FAX

We hereby request that you carry out the survey for registration and issue the certificates described in the attached Form 3A-1. This request is made on the basis that we accept the provisions of REGULATIONS FOR THE CLASSIFICATION AND REGISTRY OF SHIPS, CONDITIONS OF SERVICE FOR CLASSIFICATION OF SHIPS AND REGISTRATION OF INSTALLATIONS and REGULATIONS FOR THE ISSUE OF STATUTORY CERTIFICATES (as well as the provisions of REGULATIONS FOR TECHNICAL SERVICES when requesting technical services) of NIPPON KAIJI KYOKAI and that we allow flag authorities and authorized audit teams on board for the purpose of their assessing NIPPON KAIJI KYOKAI's compliance with applicable rules, regulations and quality standard. We agree to pay all survey fees and expenses incurred as a result of the above-mentioned survey and issuance of relevant certificate(s), regardless of whether class and/or installations are registered or not.

### SHIP INFORMATION ※ Main particulars of hull and machinery are to be filled in using separate Form 3A-2.

Name of Ship	IMO No.	
Shipbuilder Name Address	Date of Contract Date of Keel Laying Date of Launch Date of Completion	
Yard/Hull Number	Port of Registry	
Flag	Previous Class	
Previous Flag	Gross Tonnage	
Purpose of Ship		
Survey Schedule	From _____ to _____	Survey Place _____
Shore Archive <sup>(1)</sup>	<input type="checkbox"/> ClassNK / <input type="checkbox"/> Other ( _____ ) / <input type="checkbox"/> Not Applicable	Other Class <sup>(2)</sup> _____
Navigation Area	<input type="checkbox"/> International / <input type="checkbox"/> Non-international / <input type="checkbox"/> Ocean going / <input type="checkbox"/> Non-ocean going (Please specify): _____	
Operating in Polar Waters	<input type="checkbox"/> Apply ( <input type="checkbox"/> Category A / <input type="checkbox"/> Category B / <input type="checkbox"/> Category C) / <input type="checkbox"/> Not Apply	

- (1) Please enter the name of shore archive (GBS-SCF) for ships subject to SOLAS Chapter II-1 Regulation 3-10 and submit an 'Application for ClassNK Archive Center Service' separately if apply.  
 (2) Please enter the name of other class if dual or double class.

### CLASSIFICATION / REGISTRATION OF INSTALLATIONS not built under the Society's Survey

Classification	Classification Characters and Notations applying for	NS MNS		
	Descriptive Note(s)			
	Notations for Guideline	<input type="checkbox"/> Environmental Awareness (EA + _____) <input type="checkbox"/> Exhaust Gas Cleaning System Ready ( <input type="checkbox"/> EGCSR-G <input type="checkbox"/> EGCSR-F) <input type="checkbox"/> High Voltage Shore supply System (HVSS) <input type="checkbox"/> Inventory of Hazardous Materials (IHM) <input type="checkbox"/> Mechanical Vibration Awareness (MVA) <input type="checkbox"/> Noise and Vibration Comfort (NVC· _____)		
	Special Description	<input type="checkbox"/> n.s. <input type="checkbox"/> n.f. <input type="checkbox"/> Other (Please specify): _____		
Installations	<input type="checkbox"/> Safety Equipment <input type="checkbox"/> Radio Installations <input type="checkbox"/> Marine Pollution Prevention Installations <input type="checkbox"/> Crew Accommodation Arrangements <small>(Applicable only to Japanese flag ships)</small>			
	<input type="checkbox"/> Anti-Fouling Systems ( <input type="checkbox"/> AFS <input type="checkbox"/> AFS-C) <input type="checkbox"/> Ballast Water Management Installations <input type="checkbox"/> Cargo Handling Appliances			
	<input type="checkbox"/> Automatic and Remote Control Systems ( <input type="checkbox"/> MC <input type="checkbox"/> M0 <input type="checkbox"/> M0 · A <input type="checkbox"/> M0 · B <input type="checkbox"/> M0 · C <input type="checkbox"/> M0 · D) <input type="checkbox"/> Preventive Machinery Maintenance Systems			
	<input type="checkbox"/> Cargo Refrigerating Installations ( <input type="checkbox"/> RMC <input type="checkbox"/> RMC · CA) <input type="checkbox"/> Navigation Bridge Systems ( <input type="checkbox"/> BRS <input type="checkbox"/> BRS1 <input type="checkbox"/> BRS1A)			
	<input type="checkbox"/> Integrated Fire Control Systems ( <input type="checkbox"/> IFC · M <input type="checkbox"/> IFC · A <input type="checkbox"/> IFC · AM) <input type="checkbox"/> Other (Please specify): _____			

Attachments:  Form 3A-1     Form 3A-2     Form 3A-3     Form 3A-4-1     Form 3A-4-2     4A

### BILLING CONTACT ※ Please complete the following only in cases where the billing contact and applicant are different.

Name Address	Signature and/or Official Stamp
	Name in Block Capitals
	TEL
	FAX

For NK internal use	Receipt Date	Receipt No.
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- Remarks:  
 1. Please send this form to the nearest ClassNK branch office.  
 2. Please attach the plans and documents stipulated in the ClassNK Guidance for the Survey and Construction of Ships.  
 3. If any of the information provided in this form changes or if undecided items have been determined, please inform the nearest ClassNK branch office.

Shipbuilder	Yard/Hull Number
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## SURVEY AND ISSUANCE OF CERTIFICATES & ATTESTATION

※ The following information is not required for Japanese flag ships. Please submit an “APPLICATION FOR ISSUE OF CERTIFICATES (Form 4A)” separately.

<b>Survey for Statutory Certificates and Issuance of Certificates</b>  (1) For Japanese flag ships, NK conducts surveys only. (2) For Japanese flag ships, the Japanese Government conducts surveys and issues certificate(s). (3) The combination forms of SC, SE, and SR Certificates.	<table style="width:100%; border-collapse: collapse;"> <tr> <th style="text-align: left; border-bottom: 1px solid black;">Survey</th> <th style="text-align: left; border-bottom: 1px solid black;">Certificate</th> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Load Line <i>(Please fill in the boxes of the main particulars of load lines.)</i></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Passenger Ship Safety<sup>(2)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Cargo Ship Safety Construction</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Cargo Ship Safety Equipment</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Cargo Ship Safety Radio</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Cargo Ship Safety<sup>(3)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Exemption (<input type="checkbox"/> Fixed Fire-Extinguishing System)</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Fitness for the Carriage of Dangerous Chemicals in Bulk<sup>(1)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Fitness for the Carriage of Liquefied Gases in Bulk</td> </tr> </table>	Survey	Certificate	<input type="checkbox"/>	<input type="checkbox"/> Load Line <i>(Please fill in the boxes of the main particulars of load lines.)</i>	<input type="checkbox"/>	<input type="checkbox"/> Passenger Ship Safety <sup>(2)</sup>	<input type="checkbox"/>	<input type="checkbox"/> Cargo Ship Safety Construction	<input type="checkbox"/>	<input type="checkbox"/> Cargo Ship Safety Equipment	<input type="checkbox"/>	<input type="checkbox"/> Cargo Ship Safety Radio	<input type="checkbox"/>	<input type="checkbox"/> Cargo Ship Safety <sup>(3)</sup>	<input type="checkbox"/>	<input type="checkbox"/> Exemption ( <input type="checkbox"/> Fixed Fire-Extinguishing System)	<input type="checkbox"/>	<input type="checkbox"/> Fitness for the Carriage of Dangerous Chemicals in Bulk <sup>(1)</sup>	<input type="checkbox"/>	<input type="checkbox"/> Fitness for the Carriage of Liquefied Gases in Bulk	<table style="width:100%; border-collapse: collapse;"> <tr> <th style="text-align: left; border-bottom: 1px solid black;">Survey</th> <th style="text-align: left; border-bottom: 1px solid black;">Certificate</th> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Fitness for Ship Carrying Dangerous Goods<sup>(1)</sup> <i>(Please fill in the boxes of the main particulars of dangerous goods.)</i></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Oil Pollution Prevention<sup>(1)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk<sup>(1)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Sewage Pollution Prevention<sup>(1)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Air Pollution Prevention<sup>(1)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Anti-Fouling System</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Ballast Water Management<sup>(1)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Grain Loading Booklet<sup>(2)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Energy Efficiency<sup>(1)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Other (Please specify):</td> </tr> </table>	Survey	Certificate	<input type="checkbox"/>	<input type="checkbox"/> Fitness for Ship Carrying Dangerous Goods <sup>(1)</sup> <i>(Please fill in the boxes of the main particulars of dangerous goods.)</i>	<input type="checkbox"/>	<input type="checkbox"/> Oil Pollution Prevention <sup>(1)</sup>	<input type="checkbox"/>	<input type="checkbox"/> Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk <sup>(1)</sup>	<input type="checkbox"/>	<input type="checkbox"/> Sewage Pollution Prevention <sup>(1)</sup>	<input type="checkbox"/>	<input type="checkbox"/> Air Pollution Prevention <sup>(1)</sup>	<input type="checkbox"/>	<input type="checkbox"/> Anti-Fouling System	<input type="checkbox"/>	<input type="checkbox"/> Ballast Water Management <sup>(1)</sup>	<input type="checkbox"/>	<input type="checkbox"/> Grain Loading Booklet <sup>(2)</sup>	<input type="checkbox"/>	<input type="checkbox"/> Energy Efficiency <sup>(1)</sup>	<input type="checkbox"/>	<input type="checkbox"/> Other (Please specify):
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<b>Tonnage Certificates</b> (4) For Japanese flag ships, the Japanese Government conducts measurements and issues certificate(s).	<table style="width:100%; border-collapse: collapse;"> <tr> <th style="text-align: left; border-bottom: 1px solid black;">Measurement</th> <th style="text-align: left; border-bottom: 1px solid black;">Certificate</th> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> International Tonnage<sup>(4)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> PC/UMS Documentation of total volume<sup>(4)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> Suez Canal<sup>(4)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/> National Tonnage<sup>(4)</sup> (Applicable rule: _____ )</td> </tr> </table>		Measurement	Certificate	<input type="checkbox"/>	<input type="checkbox"/> International Tonnage <sup>(4)</sup>	<input type="checkbox"/>	<input type="checkbox"/> PC/UMS Documentation of total volume <sup>(4)</sup>	<input type="checkbox"/>	<input type="checkbox"/> Suez Canal <sup>(4)</sup>	<input type="checkbox"/>	<input type="checkbox"/> National Tonnage <sup>(4)</sup> (Applicable rule: _____ )																																
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<b>Assignments</b> (5) Applicable only to Japanese flag ships.	<input type="checkbox"/> Freeboard <input type="checkbox"/> Incinerator <sup>(5)</sup> <input type="checkbox"/> Lift for Crew etc. <sup>(5)</sup>																																											
<b>Cargo Gear Certificate etc.</b> <i>(Please indicate main particulars of cargo gear on separate Form 3A-4-1.)</i>  (6) Including Loose gear (except ropes) & Rope (7) These forms are to be prepared by the applicant.	<input type="checkbox"/> Cargo Gear Book <input type="checkbox"/> Cargo Gear Certificate <sup>(6)</sup> <input type="checkbox"/> Derrick <input type="checkbox"/> Derrick for Union Purchase <input type="checkbox"/> Crane <input type="checkbox"/> Ramp-way, Lift for Cargo  <input type="checkbox"/> Other (Please specify):	<input type="checkbox"/> GN <input type="checkbox"/> JP <sup>(7)</sup> <input type="checkbox"/> PK <sup>(7)</sup> <input type="checkbox"/> Other <sup>(7)</sup> : <input type="checkbox"/> GN <input type="checkbox"/> JP <input type="checkbox"/> PK <sup>(7)</sup> <input type="checkbox"/> Other <sup>(7)</sup> :  GN: Form for NK classed ships JP: Form for Japanese flag ships PK: Form for Pakistani service																																										
<b>IMSBC Code Fitness Certificate</b>	<input type="checkbox"/> Group A cargoes ( <input type="checkbox"/> with / <input type="checkbox"/> without restrictions on moisture content of cargoes) <input type="checkbox"/> Group C cargoes <input type="checkbox"/> Group B cargoes <i>(Please list all cargoes. If insufficient space, please continue on a separate sheet.)</i>  <div style="border: 1px solid black; height: 60px; width: 100%;"></div>																																											
<b>Document of Compliance for a Non-party to a Convention</b>	<input type="checkbox"/> Cargo Ship Safety Construction <input type="checkbox"/> Cargo Ship Safety Equipment <input type="checkbox"/> Cargo Ship Safety Radio <input type="checkbox"/> Oil Pollution Prevention <input type="checkbox"/> Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk <input type="checkbox"/> Other (Please specify):																																											
<b>Certificate of Fitness for National Regulations of Flag State</b> <i>(Please indicate applicable requirements in space provided.)</i>	<div style="border: 1px solid black; height: 40px; width: 100%;"></div>																																											
<b>Attestation of USCG</b>	<input type="checkbox"/> Oil Pollution Prevention (33CFR Part155) <input type="checkbox"/> Liquid Gas Carrier (46CFR Part154) <input type="checkbox"/> Vapor Emission Control System (46CFR Part39) <input type="checkbox"/> Other (Please specify):																																											
<b>Other Certificate(s)</b>	<input type="checkbox"/> ILO Crew Accommodation <input type="checkbox"/> Attestation of Load Lines <input type="checkbox"/> Attestation of Deadweight <input type="checkbox"/> Certificate of Keel Laying <input type="checkbox"/> Other (Please specify):																																											

Shipbuilder		Yard/Hull Number	
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## MAIN PARTICULARS OF HULL

Lpp x B x D (m)	x	x	Deadweight	
Yard/Hull Number of Sister ship(s) <sup>(1)</sup>			Coal Carrying	<input type="checkbox"/> Yes <input type="checkbox"/> No
Special Rules to be Applied	<input type="checkbox"/> Part CS <input type="checkbox"/> Part P <input type="checkbox"/> Part Q <input type="checkbox"/> Part T <input type="checkbox"/> Other (Please specify) :			
Fishing Ship <sup>(2)</sup>	Type		Restrictions	

*(1) Please complete if sister ships(s) has been already registered in NK.*

*(2) Applicable only to Japanese flag ships.*

## MAIN PARTICULARS OF MACHINERY

Main Engine	Number, Type & Model	.	.
	Maximum Output <sup>(1)</sup> & Revolutions per minute	kW · PS	· RPM
	Manufacturer Workshop		
Application of Tier III NOx Regulation for Diesel Engines Installed Onboard		<input type="checkbox"/> Applied <input type="checkbox"/> Not Applied	
Propeller	Number, Type & RPM	.	· RPM
	Type of Propeller Shaft, etc.	<input type="checkbox"/> 1A <input type="checkbox"/> 1B <input type="checkbox"/> 1C <input type="checkbox"/> 1W <input type="checkbox"/> 2	Preventive Maintenance Systems <input type="checkbox"/> PSCM <input type="checkbox"/> PSCM · A Alternative Survey Methods <input type="checkbox"/> APSS · O <input type="checkbox"/> APSS · W
Boiler <input type="checkbox"/> Main <input type="checkbox"/> Auxiliary	Number & Maximum Working Pressure <sup>(1)</sup>	.	MPa · kg/cm <sup>2</sup>
	Manufacturer Workshop		
Generator	Total Output	kVA	
Use of Low-flashpoint Fuel	<input type="checkbox"/> Yes ( <input type="checkbox"/> Natural gas / <input type="checkbox"/> Other: ) <input type="checkbox"/> No		
Exhaust Gas Cleaning System	<input type="checkbox"/> Yes <input type="checkbox"/> No		

*(1) For Japanese flagged ships, please select a SI unit.*

## MAIN PARTICULARS OF LOAD LINES

Type of Ship	<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> B+ <input type="checkbox"/> B-60 <input type="checkbox"/> B-100	Timber Freeboard	<input type="checkbox"/> Yes <input type="checkbox"/> No
Intended Freeboard (m)		<input type="checkbox"/> Multiple Load Line System	

## MAXIMUM NUMBER OF PERSONS ACCOMMODATED

Maximum Number of Persons Accommodated	Total No.:	(Passenger:	Crew:	Other: )
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## MAIN PARTICULARS OF CARGO REFRIGERATING INSTALLATIONS

*※Please complete when requesting to register refrigerating installations.*

Intended Notation	
Type of Refrigerant	Cooling System
Total Capacity of Cargo Chamber	m <sup>3</sup>

Shipbuilder	Yard/Hull Number
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## MAIN PARTICULARS OF CARRIAGE OF DANGEROUS GOODS

※ For Japanese flag ships, NK conducts survey only.

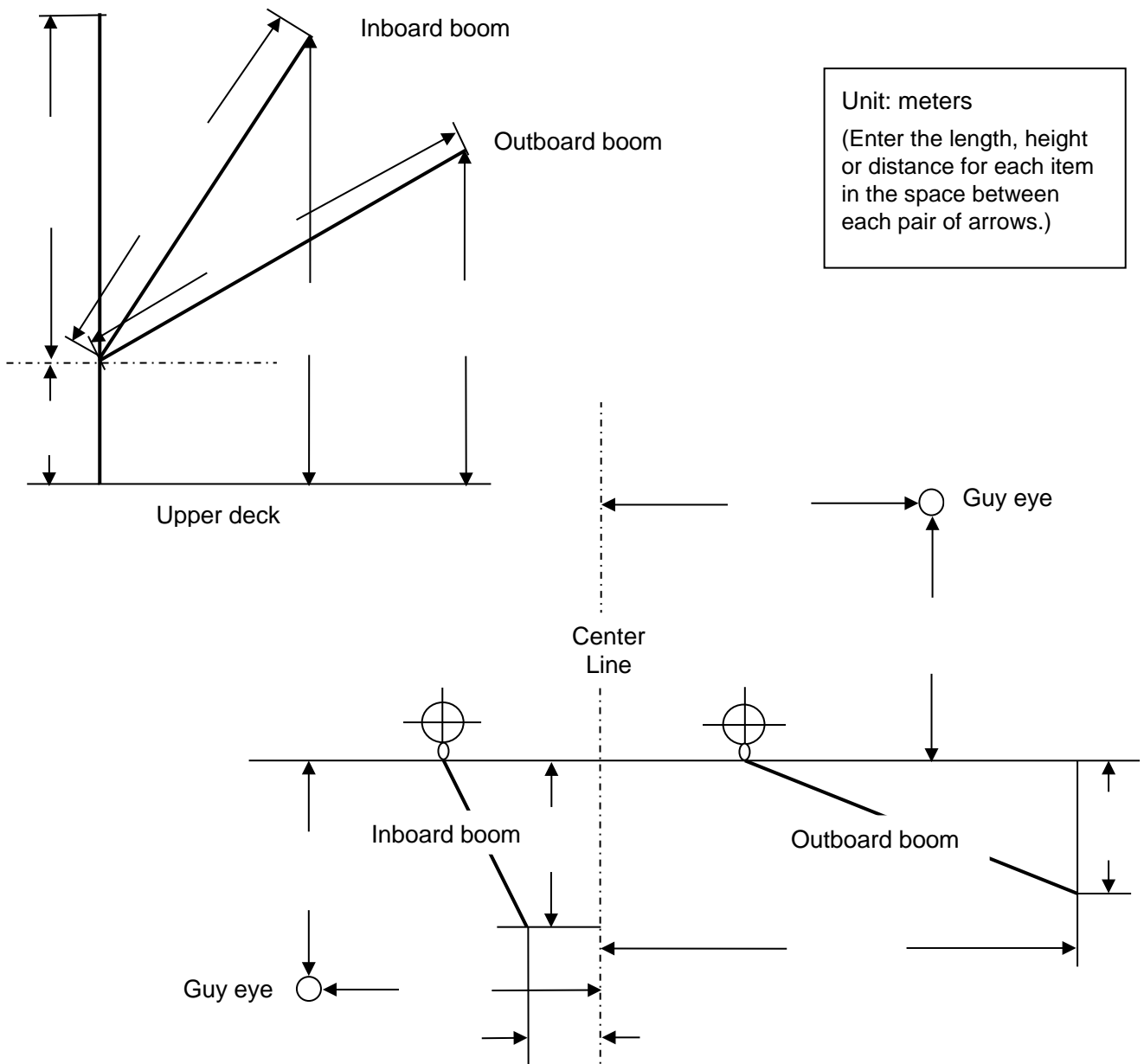
Solid Dangerous Goods in Bulk	Where loaded	<input type="checkbox"/> Cargo Hold No.: <span style="margin-left: 150px;"><input type="checkbox"/> Other (Please specify):</span>
	Class of Dangerous Goods <i>(1) Please complete when restrictions apply to loading of specified cargo in order to meet relevant requirements.</i>	<input type="checkbox"/> 4.1 <input type="checkbox"/> 4.2 <input type="checkbox"/> 4.3 <input type="checkbox"/> 5.1 <input type="checkbox"/> 6.1 <input type="checkbox"/> 8 <input type="checkbox"/> 9 <input type="checkbox"/> Loading restrictions for Specified Cargo <sup>(1)</sup> :
Other Dangerous Goods	Where loaded	<input type="checkbox"/> Weather Deck <input type="checkbox"/> Cargo Hold No.: <input type="checkbox"/> Other (Please specify):
	Class of Dangerous Goods <i>(1) Please complete when restrictions apply to loading of specified cargo in order to meet relevant requirements.</i>	<input type="checkbox"/> 1.1-1.6 <input type="checkbox"/> 1.4S <input type="checkbox"/> 2.1 <input type="checkbox"/> 2.2 <input type="checkbox"/> 2.3 ( <input type="checkbox"/> (flammable) <input type="checkbox"/> (non-flammable)) <input type="checkbox"/> 3 (all) <input type="checkbox"/> 3 (liquid: flashpoint (°C) <input type="checkbox"/> below 23° <input type="checkbox"/> between 23° and 60°) <input type="checkbox"/> 4.1 <input type="checkbox"/> 4.2 <input type="checkbox"/> 4.3 ( <input type="checkbox"/> liquid <input type="checkbox"/> solid) <input type="checkbox"/> 5.1 <input type="checkbox"/> 5.2 <input type="checkbox"/> 6.1 (All) <input type="checkbox"/> 6.1 (liquid: flashpoint (°C) <input type="checkbox"/> below 23° <input type="checkbox"/> between 23° and 60° <input type="checkbox"/> over 60° <input type="checkbox"/> solid) <input type="checkbox"/> 8 (All) <input type="checkbox"/> 8 (liquid: flashpoint (°C) <input type="checkbox"/> below 23° <input type="checkbox"/> between 23° and 60° <input type="checkbox"/> over 60° <input type="checkbox"/> solid) <input type="checkbox"/> 9 <input type="checkbox"/> Loading restrictions for Specified Cargo <sup>(1)</sup> :



Shipbuilder	Yard/Hull Number
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## Datasheet for Union Purchase <sup>(1) (2)</sup>

- Location of Derricks: Fore / Aft of hatch no. (            ).
- Distance of lower guy eyes from upper deck <sup>(3)</sup>: Inboard boom (            ) meters  
Outboard boom (            ) meters
- Safe Working load in Union Purchase: (            ) tons
- Fall angle: (            ) degrees
- Allowable lifting height from upper deck: (            ) meters
- Outside diameter and thickness of derrick boom: Inboard boom             $\varnothing$ mm ×            mm  
Outboard boom             $\varnothing$ mm ×            mm



**Remarks:**

- (1) This form is to be prepared separately for each gang.
- (2) Camber, sheer, trim, and heel are to be ignored.
- (3) Enter the value 0 meters in cases where the guy eye is fixed to the upper deck.