

# **REGULATIONS FOR THE CLASSIFICATION AND REGISTRY OF SHIPS**

GUIDANCE FOR THE CLASSIFICATION AND REGISTRY OF SHIPS

**Regulations for the Classification and Registry of Ships**

**2009 AMENDMENT NO.1**

**Guidance for the Classification and Registry of Ships 2009 AMENDMENT NO.1**

Rule No.17 / Notice No.17 15th April 2009

Resolved by Technical Committee on 4th February 2009

Approved by Board of Directors on 24th February 2009

**ClassNK**  
NIPPON KAIJI KYOKAI

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# REGULATIONS FOR THE CLASSIFICATION AND REGISTRY OF SHIPS

**RULES**

## **2009 AMENDMENT NO.1**

Rule No.17 15th April 2009

Resolved by Technical Committee on 4th February 2009

Approved by Board of Directors on 24th February 2009

AMENDMENT TO THE REGULATIONS FOR THE CLASSIFICATION AND REGISTRY OF SHIPS

“Regulations for the classification and registry of ships” has been partly amended as follows:

**Chapter 2 CLASSIFICATION OF SHIPS**

**2.1 Classification**

Paragraph 2.1.3 has been amended as follows.

**2.1.3 Class Notations**

**1** An appropriate notation will be affixed by the Society to the Classification Characters of the ship under **2.1.1** when the following **(1)** to **(4)** are applicable:

- (1) A ship which has been approved for a limited service area – such as (*Coasting Service*), or (*Smooth Water Service*)
- (2) A ship which has been approved for a particular purpose – such as (*Bulk Carrier*), (*Container Carrier*), or (*Column Stabilized Drilling Unit*)
- (3) A ship, the hull construction of which has been strengthened for a particular purpose – such as (*Class IA Ice Strengthening*)
- (4) A ship not included in **(1)** to **(3)** above that is deemed appropriate by the Society – such as having a hull constructed of *FRP*.

**2** *Environmental Awareness* (abbreviated to *EA*) may be affixed by the Society to the Classification Characters of the ship under **2.1.1** when particular measures for environment are taken.

EFFECTIVE DATE AND APPLICATION

1. The effective date of the amendments is 1 May 2009.

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# **GUIDANCE FOR THE CLASSIFICATION AND REGISTRY OF SHIPS**

**GUIDANCE**

**2009 AMENDMENT NO.1**

Notice No.17 15th April 2009

Resolved by Technical Committee on 4th February 2009

Notice No.17 15th April 2009

**AMENDMENT TO THE GUIDANCE FOR THE CLASSIFICATION AND REGISTRY OF SHIPS**

“Guidance for the classification and registry of ships” has been partly amended as follows:

**Amendment 1-1**

**Appendix 1 APPLICATION FORMS**

Application for Classification and Statutory Services during Construction has been amended as follows.



Application for Classification and Statutory Services  
Form 1A(Rev. June 2008)

APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES  
DURING CONSTRUCTION

To: NIPPON KAIJIKYOKAI

Application Date: \_\_\_\_\_

APPLICANT

Name:	Signature and Official Stamp of Applicant:
Address:	
TEL:	Name in Block Capitals:
FAX:	Position in Company:

We hereby request you to carry out the survey for registration and to issue the certificates described in the attached Form 1A-1. This request is made on the basis that we accept the provisions of REGULATIONS FOR THE CLASSIFICATION AND REGISTRY OF SHIPS, CONDITIONS OF SERVICE FOR CLASSIFICATION OF SHIPS AND REGISTRATION OF INSTALLATIONS and REGULATIONS FOR THE ISSUE OF STATUTORY CERTIFICATES (as well as the provisions of REGULATIONS FOR TECHNICAL SERVICES when requesting technical services) of NIPPON KAIJI KYOKAI. We agree to pay all survey fees and expenses incurred as a result of the above-mentioned survey and issuance of relevant certificate(s), regardless of whether class and/or installations are registered or not

SHIP INFORMATION *Main particulars of hull and machinery are to be filled in using separate Form 1A-1.*

Shipbuilder: Name Address	<input type="checkbox"/> Same as applicant. <i>(If the shipbuilder is the same person as the above applicant, please tick this box without filling in the name and address of the shipbuilder.)</i>	Yard/Hull Number:	
		"The date of building contract" <sup>(1)</sup> or "the date on which the option is exercised" <sup>(2)</sup> :	
Prospective Owner <i>(Ship Owner described in the National Registry Certificate)</i>	<input type="checkbox"/> Unknown <input type="checkbox"/> Name:	Optional ship? <sup>(3)</sup>	Yes <input type="checkbox"/> No <input type="checkbox"/>
		The date of building contract of the first sister ship	
Proposed flag:	Port of registry:	Number, Type, MCR of Main Engine	
Type of ship:	Estimated gross tonnage:		
Intended area of navigation:	<input type="checkbox"/> International / <input type="checkbox"/> Non-international <input type="checkbox"/> Ocean going / <input type="checkbox"/> Not ocean going <i>(Please enter specified navigation area) :</i>		

- (1) Please enter "the date of building contract" signed between the prospective owner and the shipbuilder or "its scheduled date". In case where the scheduled building contract date has been changed, please inform us without delay.  
 (2) Please enter "the date on which the option is exercised".  
 (3) If this ship is the optional ship, please tick "Yes" box and enter "The date of building contract of the first sister ship" and "Yard/Hull Number of the first sister ship".  
 Where:  
 Optional ship : a series of sister ships for which the option is ultimately exercised and built to the same approved plans for the classification purposes, under a single contract for construction  
 First sister ship: the first ship of a series of sister ships built to the same approved plans for the classification purposes, under a single contract for construction  
 If this ship is not the optional ship, please tick "No" box.

REGISTRATION

Classification during construction	Classification Characters and Notations for which applying:	NS*
	Character of Main Propulsion Machinery:	MNS*
Registration of installations during construction	Descriptive Note(s)	
	Other Descriptive Note(s):	<input type="checkbox"/> n.s. <input type="checkbox"/> n.f. <input type="checkbox"/> <del>W</del> <input type="checkbox"/> Other:
<input type="checkbox"/> Safety Equipment <input type="checkbox"/> Cargo Handling Appliances <input type="checkbox"/> Marine Pollution Prevention Installations <input type="checkbox"/> Automatic and Remote Control Systems ( <input type="checkbox"/> MC, <input type="checkbox"/> M0, <input type="checkbox"/> M0-A, <input type="checkbox"/> M0-B, <input type="checkbox"/> M0-C, <input type="checkbox"/> M0-D) <input type="checkbox"/> Navigation Bridge Systems ( <input type="checkbox"/> BRS, <input type="checkbox"/> BRS1, <input type="checkbox"/> BRS1A) <input type="checkbox"/> Integrated Fire Control Systems ( <input type="checkbox"/> IFC-M, <input type="checkbox"/> IFC-A, <input type="checkbox"/> IFC-AM) <input type="checkbox"/> Anti-Fouling Systems ( <input type="checkbox"/> AFS) <input type="checkbox"/> Cargo Refrigerating Installations ( <input type="checkbox"/> RMC, <input type="checkbox"/> RMC-CA) <input type="checkbox"/> Other:		<input type="checkbox"/> Radio Installations <input type="checkbox"/> Crew Accommodation Arrangements <input type="checkbox"/> Preventive Machinery Maintenance Systems

Attachments :  Form 1A-1  Form 1A DG-BC  Form 1A CG  Form 1A C  
 Submit later :  Form 1A-1  Form 1A DG-BC  Form 1A CG  Form 1A C  
 Omit to submit (sister ship S.No. \_\_\_\_\_) :  Form 1A-1  Form 1A DG-BC  Form 1A CG  Form 1A C

Billing Contact *(Please fill in if the billing contact is different from the above applicant.)*

Name:	Signature and Official Stamp:
Address:	
TEL:	Name in Block Capitals:
FAX:	

For NK internal use.	Date received	Receipt No.
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- Remarks : 1. Please send this form to the nearest ClassNK branch office.  
 2. If the items specified in the above is not decided at the time of the application, please fill in the relevant columns as "Undecided".  
 3. If the items filled in these forms have been changed or if undecided items have been determined, please inform the nearest ClassNK branch office of them. In case where ClassNK deem any descriptions in these forms need to be altered, during process of Classification Survey, it will be notified to the applicant.

Application for Classification and Statutory Services for an existing Ship has been amended as follows.



Application for Classification and Statutory Services  
Form 3A (Rev. September 2008)

**APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES  
FOR AFTER CONSTRUCTION**

To: NIPPON KAIJI KYOKAI 

Application Document No.:		Application Date:	
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**APPLICANT**

Name:			Signature and Official Stamp of Applicant:
Address:			
			Name in Block Capitals:
TEL:		FAX:	Position in Company:

We hereby request you to carry out the survey for registration and to issue the certificates described in the attached Form 3A-ATT1. This request is made on the basis that we accept the provisions of *REGULATIONS FOR THE CLASSIFICATION AND REGISTRY OF SHIPS*, *CONDITIONS OF SERVICE FOR CLASSIFICATION OF SHIPS AND REGISTRATION OF INSTALLATIONS* and *REGULATIONS FOR THE ISSUE OF STATUTORY CERTIFICATES* (as well as the provisions of *REGULATIONS FOR TECHNICAL SERVICES* when requesting technical services) of NIPPON KAIJI KYOKAI. We agree to pay all survey fees and expenses incurred as a result of the above-mentioned survey and issuance of relevant certificate(s), regardless of whether class and/or installations are registered or not.

**SHIP INFORMATION** *Main particulars of hull and machinery are to be filled in using separate Form 3A-ATT2.*

<b>Name of Ship</b>			<b>IMO No.</b>	
<b>Shipbuilder:</b> Name Address			<b>Date of Contract:</b>	
<b>Yard/Hull Number:</b>			<b>Date of Keel Laying:</b>	
			<b>Date of Launch:</b>	
<b>Flag:</b>		<b>Port of registry:</b>		
<b>Type of ship:</b>		<b>Gross tonnage:</b>		
<b>Survey Schedule</b>	From: _____ To: _____		At: _____	
<b>Intended area of navigation:</b>	<input type="checkbox"/> International / <input type="checkbox"/> Non-international <input type="checkbox"/> Ocean going / <input type="checkbox"/> Not ocean going <i>(Please enter specified navigation areas)</i>			

**REGISTRATION**

<b>Classification not built under the Society's survey</b>	Classification Characters and Notations for which applying:	NS
	Character of Main Propulsion Machinery:	MNS
	Descriptive Note(s)	
	Other Descriptive Note(s):	<input type="checkbox"/> n.s. <input type="checkbox"/> n.f. <input type="checkbox"/> CoC <input checked="" type="checkbox"/> IWS <input type="checkbox"/> Other:
<b>Registration of Installations not built under the Society's survey</b>	<input type="checkbox"/> Safety Equipment <span style="float: right;"><input type="checkbox"/> Radio Installations</span> <input type="checkbox"/> Cargo Handling Appliances <span style="float: right;"><input type="checkbox"/> Crew Accommodation Arrangements</span> <input type="checkbox"/> Marine Pollution Prevention Installations <span style="float: right;"><input type="checkbox"/> Preventive Machinery Maintenance Systems</span> <input type="checkbox"/> Automatic and Remote Control Systems ( <input type="checkbox"/> MC, <input type="checkbox"/> M0, <input type="checkbox"/> M0-A, <input type="checkbox"/> M0-B, <input type="checkbox"/> M0-C, <input type="checkbox"/> M0-D) <input type="checkbox"/> Navigation Bridge Systems ( <input type="checkbox"/> BRS, <input type="checkbox"/> BRS1, <input type="checkbox"/> BRS1A) <input type="checkbox"/> Integrated Fire Control Systems ( <input type="checkbox"/> IFC-M, <input type="checkbox"/> IFC-A, <input type="checkbox"/> IFC-AM) <input type="checkbox"/> Cargo Refrigerating Installations ( <input type="checkbox"/> RMC, <input type="checkbox"/> RMC-CA) <input type="checkbox"/> Anti-Fouling Systems ( <input type="checkbox"/> AFS, <input type="checkbox"/> AFS-C) <span style="float: right;"><input type="checkbox"/> Other:</span>	

**Billing Contact** *(Please fill in if the billing contact is different from the above applicant.)*

Name:			Signature and Official Stamp:
Address:			
			Name in Block Capitals:
TEL:		FAX:	

Attachments:  Form 3A-ATT1  Form 3A-ATT2  Form 3A-ATT3  Form 3A-ATT4-1  Form 3A-ATT4-2

Remarks: 1. Please send this form to the nearest ClassNK branch office.  
2. Please attach the plans and documents stipulated in the ClassNK *Guidance for the Survey and Construction of Ships*.  
3. If the items filled in this form have been changed or if undecided items have been determined, please inform the nearest ClassNK branch office of them.

For NK internal use.	Date received		Receipt No.
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EFFECTIVE DATE AND APPLICATION (Amendment 1-1)

1. The effective date of the amendments is 15 April 2009.

## Chapter 2 CLASSIFICATION OF SHIPS

### 2.1 Classification

Paragraph 2.1.3 has been amended as follows.

#### 2.1.3 Class Notations

**1** Notations referred to in **2.1.3-1** of the **Regulations for the Classification and Registry of Ships** are affixed to Classification Characters when the ship is registered and the provisions of special or additional requirements or the relaxation of conditions are applied.

**2** “A ship ... deemed appropriate by the Society” referred to in **2.1.3-1 (4) of the Regulations for the Classification and Registry of Ships** means one of the following.

- (1) A ship whose main hull part is constructed of materials other than steel
- (2) A ship whose scantlings have been approved by applying detailed structural analysis based on methods such as advanced direct calculation
- (3) A ship which has been classified on the condition that a special scheme will be applied for the ship’s class maintenance surveys
- (4) A ship which has been designed and built with novel design features not covered by the current Rules, and which has been classified applying special requirements

**3** Notations referred to in 2.1.3-2 of the Regulations for the Classification and Registry of Ships are affixed to Classification Characters based on the application from the owner according to “Environmental Guideline” separately issued by the Society.

Paragraph 2.2 has been amended as follows.

### 2.2 Maintenance of Class

**1** The alterations or conversions referred to in **2.2-2 of the “Regulations for the Classification and Registry of Ships”** are those such as jumboizing the hull or conversion of the main engine.

**2** “Reasonable circumstances” mentioned in **2.2-1 of the “Regulations for the Classification and Registry of Ships”** are the circumstances where the survey cannot be carried out by the specified interval due to unavoidable forces such as encountering severe weather and suffering casualties.

**3** With respect to the provision of 2.2 of the “Regulations for the Classification and Registry of Ships”, requirements regarding the notation specified in 2.1.3-2 are not conditions for maintenance of class. For maintenance of the notation, compliance with the requirements is confirmed periodically according to “Environmental Guideline” separately issued by the Society.

#### EFFECTIVE DATE AND APPLICATION (Amendment 1-2)

1. The effective date of the amendments is 1 May 2009.