
RULES FOR THE AUDIT AND REGISTRATION OF SAFETY MANAGEMENT SYSTEMS

RULES

2009 AMENDMENT NO.1

Rule No.42 30th October 2009

Approved by Board of Directors on 28th July 2009

Rule No.42 30th October 2009

AMENDMENT TO THE AUDIT AND REGISTRATION OF SAFETY MANAGEMENT SYSTEMS

“Rules for the audit and registration of safety management systems” has been partly amended as follows:

Amendment 1-1

Chapter 2 REGISTRATION OF SAFETY MANAGEMENT SYSTEMS

2.5 Termination of ISM Registration

Paragraph 2.5.2 has been amended as follows.

2.5.2 Termination of ISM Registration of Ship

The Society is to withdraw the ISM registration of the ship and notify the Company of the same whenever either of the following conditions becomes applicable:

- (1) when an application to cancel the ISM registration of the ship is received from the company; or
- (2) when the SMC or Interim SMC becomes invalidated under the provisions of **2.3.4-2** above, except in the case where the SMC or Interim SMC of the ships laid up becomes invalidated under the provisions of **2.3.4-2(1)**.

Chapter 5 AUDIT OF SHIPBORAD SMS

Section 5.5 has been added as follows.

5.5 Ships laid-up

1 Ships laid-up are not subject to Initial Audits specified in 5.1 and Periodical Audits specified in 5.5.

2 When the ships laid-up are about to be re-entering service, the following audits are to be carried out.

(1) The ships which have valid Interim SMC before lay-up

(a) In the case where the laid-up period is within 3 months, any audits are not required. However, in the case where Interim SMC has become invalid while the ship was laid-up, the Audit for Issuing an Interim SMC is to be carried out.

(b) In the case where the lay-up period is more than 3 months, the Audit for Issuing an Interim SMC is to be carried out.

(2) The ships which have valid SMC before they become laid-up

(a) In the case where the lay-up period is within 3 months and the due dates for Periodical Audits has not transpired while the ship was laid-up, in principal, any audits are not required and the kind of Periodical Audit and the due date shall be kept as assigned before.

(b) In the case where the lay-up period is within 3 months and the due date for Periodical Audit has transpired while the ship was laid-up, in principal, the Periodical Audit whose due date has transpired is to be carried out. However, in the case where that kind of Periodical Audit is Intermediate Audit, either Intermediate Audit or Renewal Audit shall be carried out. Then, in the case where the Intermediate Audit is carried out, next audit shall be Renewal Audit and the due date shall be kept as assigned before.

(c) In the case where the lay-up period is more than 3 months and within 12 months, and the due date for Periodical Audit has not transpired while the ship was laid-up, Additional Audit corresponding Intermediate Audit is to be carried out. In addition, in principal, the kind of Periodical Audit and the due date shall be kept as assigned before.

(d) In the case where the lay-up period is more than 3 months and within 12 months, and the due date for Periodical Audit has transpired while the ship was laid-up, in principal, the Periodical Audit whose due date has transpired is to be carried out. However, in the case where that kind of Periodical Audit is Intermediate Audit, either Intermediate Audit or Renewal Audit shall be carried out. Then, in the case where the Intermediate Audit is carried out, next audit shall be Renewal Audit and the due date shall be kept as assigned before.

(e) In the case where the lay-up period is more than 12 months, in principal, the Audit for Issuing an Interim SMC is to be carried out.

EFFECTIVE DATE AND APPLICATION (Amendment 1-1)

1. The effective date of the amendments is 30 October 2009.

Chapter 3 AUDIT OF SAFETY MANAGEMENT SYSTEMS

Section 3.1 has been amended as follows.

3.1 Conduct of Audits

Audit is to be carried out at the request of the Company by ~~an ISM auditor~~ safety management systems auditors, or marine management systems auditors of the Society (hereinafter referred to as the “auditor”) who has been properly qualified and assigned under requirements provided separately.

EFFECTIVE DATE AND APPLICATION (Amendment 1-2)

- 1.** The effective date of the amendments is 1 November 2009.

GUIDANCE FOR THE AUDIT AND REGISTRATION OF SAFETY MANAGEMENT SYSTEMS

GUIDANCE

2009 AMENDMENT NO.1

Notice No.60

30th October 2009

Notice No.60 30th October 2009

AMENDMENT TO THE GUIDANCE FOR THE AUDIT AND REGISTRATION OF SAFETY MANAGEMENT SYSTEMS

“Guidance for the audit and registration of safety management systems” has been partly amended as follows:

Chapter 5 AUDIT OF SHIPBOARD SMS

Section 5.5 has been added as follows.

5.5 Ships Laid-up

For the commencement of lay-up, the ship owner is required to submit the Application for the Ship Laid-up to the nearest Society’s local office. However, the document has been submitted under the provisions of **B1.1.8, Guidance for the Survey and Construction of Steel Ships** or **3.6, Guidance for the Audit and Registration of Ship Security Management Systems**, this requirement may be omitted.

EFFECTIVE DATE AND APPLICATION

1. The effective date of the amendments is 30 October 2009.