

---

# **RULES FOR THE AUDIT AND REGISTRATION OF SHIP SECURITY MANAGEMENT SYSTEMS**

**RULES**

**2009 AMENDMENT NO.1**

Rule No.43 30th October 2009

Approved by Board of Directors on 28th July 2009

Rule No.43 30th October 2009

## AMENDMENT TO THE RULES FOR THE AUDIT AND REGISTRATION OF SHIP SECURITY MANAGEMENT SYSTEMS

“Rules for the audit and registration of ship security management systems” has been partly amended as follows:

### Amendment 1-1

## Chapter 2 REGISTRATION OF SHIP SECURITY MANAGEMENT SYSTEMS

Section 2.5 has been amended as follows.

### 2.5 Termination of ISPS Registration

The Society is to withdraw the ISPS registration and notify the Company of such termination whenever any of the following (1) through (3) becomes applicable:

- (1) when an application to cancel the ISPS registration is received from the Company;
- (2) when the Company has quitted the ship’s management; or
- (3) when the certificate becomes invalidated under the provisions of **2.3.4(1)** through **(4)**, except in the case where the certificate of the ships laid-up becomes invalidated when the Periodical Audit or Additional Audit specified in **3.4** and **3.5** is not undergone.

## Chapter 3 AUDIT OF SECURITY MANAGEMENT SYSTEMS

### 3.5 Additional Audit

Paragraph 1(1) has been amended as follows.

**1** The Society is to carry out the Additional Audits prescribed in the following (1) and (2) at the request of the Company at any time.

- (1) Audit for issuing Interim ISSC:
  - (a) when a ship is on delivery, or a ship without a certificate prior to its entry into service
  - (b) when a Company assumes the responsibility for the operation of a ship not previously operated by that Company
  - (c) when a ship’s flag has been changed
  - (d) when the ship which had the ISSC before lay up is about to re-entering the service after the laid-up period more than 12 months
  - (e) when the ship which had the Interim ISSC before lay up is about to re-entering the service after the laid-up period more than 3 months, and the due date for Initial Audit has transpired

Section 3.6 has been added as follows.

### **3.6 Ships laid-up**

1 Ships laid-up are not subject to Initial Audits specified in 3.3, Periodical Audits specified in 3.4 and Additional Audit specified in 3.5.

2 When the ships laid-up are about to be re-entering service, the following audits are to be carried out.

(1) The ships which have valid Interim ISSC before lay-up

(a) In the case where the lay-up period is within 3 months, any audits are not required. However, in the case where Interim ISSC has become invalid while the ship was laid-up, the Audit for Issuing an Interim ISSC is to be carried out.

(b) In the case where the lay-up period is more than 3 months, the Audit for Issuing an Interim ISSC is to be carried out.

(2) The ships which have valid ISSC before lay-up

(a) In the case where the lay-up period is within 3 months and the due dates for Periodical Audits has not transpired while the ship was laid-up, in principal, any audits are not required and the kind of Periodical Audit and the due date shall be kept as assigned before.

(b) In the case where the lay-up period is within 3 months and the due date for Periodical Audit has transpired while the ship was laid-up, in principal, the Periodical Audit whose due date has transpired is to be carried out. However, in the case where that kind of Periodical Audit is Intermediate Audit, either Intermediate Audit or Renewal Audit shall be carried out. Then, in the case where the Intermediate Audit is carried out, next audit shall be Renewal Audit and the due date shall be kept as assigned before.

(c) In the case where the lay-up period is more than 3 months and within 12 months, and the due date for Periodical Audit has not transpired while the ship was laid-up, Additional Audit corresponding Intermediate Audit is to be carried out. In addition, in principal, the kind of next Periodical Audit and the due date shall be kept as assigned before.

(d) In the case where the lay-up period is more than 3 months and within 12 months, and the due date for Periodical Audit has transpired while the ship was laid-up, in principal, the Periodical Audit whose due date has transpired is to be carried out. However, in the case where that kind of Periodical Audit is Intermediate Audit, either Intermediate Audit or Renewal Audit shall be carried out. Then, in the case where the Intermediate Audit is carried out, next audit shall be Renewal Audit and the due date shall be kept as assigned before.

(e) In the case where the lay-up period is more than 12 months, in principal, the Audit for Issuing an Interim ISSC is to be carried out.

#### EFFECTIVE DATE AND APPLICATION (Amendment 1-1)

1. The effective date of the amendments 30 October 2009.

## **Chapter 3 AUDIT OF SHIP SECURITY MANAGEMENT SYSTEMS**

### **3.1 Conduct and Preparation of Audit**

Paragraph 1 has been amended as follows.

**1** The audit is to be carried out at the request of the Company by ~~the maritime security auditor~~ marine management systems auditors of the Society (hereinafter referred to as the “auditor”) who has been properly qualified and assigned under the requirements provided separately.

#### EFFECTIVE DATE AND APPLICATION (Amendment 1-2)

- 1.** The effective date of the amendments is 1 November 2009.

---

# **GUIDANCE FOR THE AUDIT AND REGISTRATION OF SHIP SECURITY MANAGEMENT SYSTEMS**

**GUIDANCE**

**2009 AMENDMENT NO.1**

Notice No.61

30th October 2009

Notice No.61 30th October 2009

## AMENDMENT TO THE GUIDANCE FOR THE AUDIT AND REGISTRATION OF SHIP SECURITY MANAGEMENT SYSTEMS

“Guidance for the audit and registration of ship security management systems” has been partly amended as follows:

### **Chapter 3 AUDIT OF SECURITY MANAGEMENT SYSTEMS**

Section 3.6 has been added as follows.

#### **3.6 Ships Laid-up**

For the commencement of lay-up, the ship owner is required to submit the following documents to the nearest Society’s local office. However, the document specified in (1) has been submitted under the provisions of **B1.1.8, Part B, Guidance for the Survey and Construction of Steel Ships** or **5.5, Guidance for the Audit and Registration of Ship Management Systems**, the submission of the document specified (1) is not required.

(1) Application for the Ship Laid-up

(2) A copy of the Certificate of acceptance of lay-up written by the Authority

#### EFFECTIVE DATE AND APPLICATION

1. The effective date of the amendments is 30 October 2009.