
REGULATIONS FOR THE CLASSIFICATION AND REGISTRY OF SHIPS

RULES

2017 AMENDMENT NO.1

Rule No.61 8 September 2017

Resolved by Technical Committee on 30 January 2017

Approved by Board of Directors on 20 February 2017

An asterisk (*) after the title of a requirement indicates that there is also relevant information in the corresponding Guidance.

“Regulations for the classification and registry of ships” has been partly amended as follows:

Chapter 3 REGISTRATION OF INSTALLATIONS

3.1 Installations Registration

Paragraph 3.1.1 has been amended as follows.

3.1.1 General*

Installations indicated in (1) to (~~14~~15) hereunder of the ship to be registered or registered under 2.1 will be assigned characters and registered in the Installations Register defined in 3.1.4 when the installations have been surveyed for registration by the Surveyors in accordance with the rules for the survey and construction of installations provided separately (hereinafter referred to as “the Installation Rules”) and found by the Society to be in compliance with the requirements of the Installation Rules.

((1) to (13) are omitted.)

(14) Ballast Water Management Installations

~~(14)~~ (15) Other installations deemed appropriate by the Society

3.1.2 Installations Character(s)*

Sub-paragraph -1 has been amended as follows.

1 The installations applicable to 3.1.1 will be distinguished by the following characters (hereinafter referred to as “Installations Character(s)”)

((1) to (13) are omitted.)

(14) BWM: Installations in 3.1.1(14)

~~(14)~~ (15) Installations in 3.1.1(~~14~~15) are to be given as appropriate

EFFECTIVE DATE AND APPLICATION

1. The effective date of the amendments is 8 September 2017.

GUIDANCE FOR THE CLASSIFICATION AND REGISTRY OF SHIPS

GUIDANCE

2017 AMENDMENT NO.1

Notice No.72 8 September 2017

Resolved by Technical Committee on 30 January 2017

Notice No.72 8 September 2017

AMENDMENT TO THE GUIDANCE FOR THE CLASSIFICATION AND REGISTRY OF SHIPS

“Guidance for the classification and registry of ships” has been partly amended as follows:

Chapter 3 REGISTRATION OF INSTALLATIONS

3.1 Installations Registration

3.1.1 General

“The rules for the survey and construction of installations provided separately” referred to in **3.1.1 of the Regulations** are the Society’s technical rules given in **Table 1** of this Guidance.

Table 1 has been amended as follows.

Table 1 Rules for the Survey and Construction of Installations

Name of Installations	Name of Rules
Cargo Refrigerating Installations	Rules for Cargo Refrigerating Installations
Cargo Handling Appliances	Rules for Cargo Handling Appliances
Marine Pollution Prevention Installations	Rules for Marine Pollution Prevention Systems
Safety Equipment	Rules for Safety Equipment
Radio Installations	Rules for Radio Installations
Automatic and Remote Control Systems	Rules for Automatic and Remote Control Systems
Navigation Bridge Systems	Rules for Navigation Bridge Systems
Diving Systems	Rules for Diving Systems
Preventive Machinery Maintenance Systems	Rules for Preventive Machinery Maintenance Systems
Integrated Fire Control Systems	Rules for Integrated Fire Control Systems
Hull Monitoring Systems	Rules for Hull Monitoring Systems
Anti-Fouling Systems on Ships	Rules for Anti-Fouling Systems on Ships
Centralized Cargo Monitoring and Control Systems	Rules for Centralized Cargo Monitoring and Control Systems
Ballast Water Management Installations	Rules for Ballast Water Management Installations

Appendix 1 APPLICATION FORMS

Application for Classification and Statutory Services during Construction (Form 1A) has been amended as follows.



Application for Classification and Statutory Services
during Construction Form 1A (17.04g)

APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES DURING CONSTRUCTION

To: NIPPON KAIJI KYOKAI

Application Document No.:	Application Date:
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APPLICANT

Name Address		Signature and/or Official Stamp of Applicant
		Name in Block Capitals
		TEL
		FAX

We hereby request that you carry out the survey for registration and issue the certificates described in the attached Form 1A-1. This request is made on the basis that we accept the provisions of REGULATIONS FOR THE CLASSIFICATION AND REGISTRY OF SHIPS, CONDITIONS OF SERVICE FOR CLASSIFICATION OF SHIPS AND REGISTRATION OF INSTALLATIONS AND REGULATIONS FOR THE ISSUE OF STATUTORY CERTIFICATES (as well as the provisions of REGULATIONS FOR TECHNICAL SERVICES when requesting technical services) of NIPPON KAIJI KYOKAI and that we allow flag authorities and authorized audit teams on board for the purpose of their assessing NIPPON KAIJI KYOKAI's compliance with applicable rules, regulations and quality standard. We agree to pay all survey fees and expenses incurred as a result of the above-mentioned survey and issuance of relevant certificate(s), regardless of whether class and/or installations are registered or not.

SHIP INFORMATION ※ Main particulars of hull and machinery are to be filled in using separate Form 1A-1.

Shipbuilder Name Address	<input type="checkbox"/> Same as applicant. <small>(If the shipbuilder and the applicant are the same, please tick this box. In such cases, the name and address of the shipbuilder does not need to be entered.)</small>	Yard/Hull Number	"The date of building contract" ⁽¹⁾ or "the date on which the option is exercised" ⁽²⁾
Prospective Owner <small>(Ship Owner listed on the National Registry Certificate)</small>	<input type="checkbox"/> Undecided <input type="checkbox"/> Name	Optional ship? ⁽³⁾ ⁽³⁾	Yes <input type="checkbox"/> No <input type="checkbox"/>
Proposed Flag	Port of Registry	The date of building contract of the first sister ship ⁽³⁾	Yard/Hull Number of the first sister ship ⁽³⁾
Purpose of Ship	Gross Tonnage	Estimated Date of Keel Laying	Estimated Date of Launch
Shore Archive ⁽⁴⁾	<input type="checkbox"/> ClassNK / <input type="checkbox"/> Other () / <input type="checkbox"/> Undecided / <input type="checkbox"/> Not Applicable	Estimated Date of Completion	Number & Type of Main Engine
Navigation Area	<input type="checkbox"/> International / <input type="checkbox"/> Non-international / <input type="checkbox"/> Ocean going / <input type="checkbox"/> Non-ocean going (Please specify):	MCR of Main Engine	
Operating in Polar Waters	<input type="checkbox"/> Apply (<input type="checkbox"/> Category A / <input type="checkbox"/> Category B / <input type="checkbox"/> Category C) / <input type="checkbox"/> Not Apply		

- (1) Please enter "The date of building contract" signed between the prospective owner and the shipbuilder or "its scheduled date". In cases where the scheduled building contract date has been changed, please inform us without delay.
- (2) If this ship is an optional ship, please enter "the date on which the option is exercised".
- (3) If this ship is an optional ship, please check the "Yes" box and enter "The date of building contract of the first sister ship" and "Yard/Hull Number of the first sister ship".
Where: Optional ship: a series of sister ships for which the option is ultimately exercised and built to the same approved plans for the classification purposes, under a single contract for construction.
First sister ship: the first ship of a series of sister ships built to the same approved plans for the classification purposes, under a single contract for construction.
If this ship is not the optional ship, please check the "No" box.
- (4) Please enter the name of shore archive (GBS-SCF) for ships subject to SOLAS Chapter II-1 Regulation 3-10 and submit an "Application for ClassNK Archive Center Service" separately if apply. If this ship is not applied, please check the "Not applicable" box.
- (5) Please enter the name of other class if dual or double class.

CLASSIFICATION / INSTALLATION REGISTRATION During Construction

Classification	Classification Characters and Notations applying for	NS* MNS*
	Descriptive Note(s)	
	Notations for Guideline	<input type="checkbox"/> Environmental Awareness (EA +) <input type="checkbox"/> Ballast Water Treatment System (BWTS) <input type="checkbox"/> High Voltage Shore supply System (HVSS) <input type="checkbox"/> Inventory of Hazardous Materials (IHM) <input type="checkbox"/> Mechanical Vibration Awareness (MVA) <input type="checkbox"/> Noise and Vibration Comfort (NVC·)
	Special Description	<input type="checkbox"/> n.s. <input type="checkbox"/> n.f. <input type="checkbox"/> Other (Please specify):
Installations	<input type="checkbox"/> Safety Equipment <input type="checkbox"/> Radio Installations <input type="checkbox"/> Marine Pollution Prevention Installations <input type="checkbox"/> Crew Accommodation Arrangements <small>(Applicable only to Japanese flag ships)</small>	
	<input type="checkbox"/> Anti-Fouling Systems <input type="checkbox"/> Ballast Water Management Installations <input type="checkbox"/> Cargo Handling Appliances	
	<input type="checkbox"/> Automatic and Remote Control Systems (<input type="checkbox"/> MC <input type="checkbox"/> M0 <input type="checkbox"/> M0 · A <input type="checkbox"/> M0 · B <input type="checkbox"/> M0 · C <input type="checkbox"/> M0 · D) <input type="checkbox"/> Preventive Machinery Maintenance Systems	
	<input type="checkbox"/> Cargo Refrigerating Installations (<input type="checkbox"/> RMC <input type="checkbox"/> RMC · CA) <input type="checkbox"/> Navigation Bridge Systems (<input type="checkbox"/> BRS <input type="checkbox"/> BRS1 <input type="checkbox"/> BRS1A) <input type="checkbox"/> Integrated Fire Control Systems (<input type="checkbox"/> IFC · M <input type="checkbox"/> IFC · A <input type="checkbox"/> IFC · AM) <input type="checkbox"/> Other (Please specify):	

Attachments : Form 1A-1 Form 1A DG-BC Form 1A CG Form 1A-C Form 4A
 Submit later : Form 1A-1 Form 1A DG-BC Form 1A CG Form 1A-C Form 4A
 Omit to submit (Sister Ship Hull No.): Form 1A-1 Form 1A DG-BC Form 1A CG Form 1A-C Form 4A

BILLING CONTACT ※ Please complete the following only in cases where the billing contact and applicant are different.

Name Address		Signature and/or Official Stamp
		Name in Block Capitals
		TEL
		FAX

For NK internal use	Receipt Date	Receipt No.
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- Remarks:
1. Please send this form to the nearest ClassNK branch office.
 2. If any of the above required items are not determined at the time of the application, please fill in the relevant columns as "Undecided".
 3. If any of the information provided in this form changes or if undecided items have been determined, please inform the nearest ClassNK branch office. In cases where ClassNK deems that any of the information included in this form needs to be altered during the Classification Survey process, the applicant will be notified.

Application for Classification and Statutory Services for an Existing Ship (Form 3A) has been amended as follows.



Application for Classification and Statutory Services for
An Existing Ship Form 3A (17.049)

**APPLICATION FOR CLASSIFICATION AND STATUTORY SERVICES
FOR AN EXISTING SHIP**

To: NIPPON KAIJI KYOKAI

Application Document No.	Application Date
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APPLICANT

Name Address	Signature and/or Official Stamp of Applicant
	Name in Block Capitals
	TEL
	FAX

We hereby request that you carry out the survey for registration and issue the certificates described in the attached Form 3A-1. This request is made on the basis that we accept the provisions of REGULATIONS FOR THE CLASSIFICATION AND REGISTRY OF SHIPS, CONDITIONS OF SERVICE FOR CLASSIFICATION OF SHIPS AND REGISTRATION OF INSTALLATIONS and REGULATIONS FOR THE ISSUE OF STATUTORY CERTIFICATES (as well as the provisions of REGULATIONS FOR TECHNICAL SERVICES when requesting technical services) of NIPPON KAIJI KYOKAI and that we allow flag authorities and authorized audit teams on board for the purpose of their assessing NIPPON KAIJI KYOKAI's compliance with applicable rules, regulations and quality standard. We agree to pay all survey fees and expenses incurred as a result of the above-mentioned survey and issuance of relevant certificate(s), regardless of whether class and/or installations are registered or not.

SHIP INFORMATION ※ Main particulars of hull and machinery are to be filled in using separate Form 3A-2.

Name of Ship	IMO No.
Shipbuilder Name Address	Date of Contract Date of Keel Laying Date of Launch Date of Completion
Yard/Hull Number	Port of Registry
Flag	Previous Class
Previous Flag	Gross Tonnage
Purpose of Ship	
Survey Schedule	From _____ to _____ Survey Place _____
Shore Archive ⁽¹⁾	<input type="checkbox"/> ClassNK / <input type="checkbox"/> Other (_____) / <input type="checkbox"/> Not Applicable Other Class ⁽²⁾ _____
Navigation Area	<input type="checkbox"/> International / <input type="checkbox"/> Non-international / <input type="checkbox"/> Ocean going / <input type="checkbox"/> Non-ocean going (Please specify): _____
Operating in Polar Waters	<input type="checkbox"/> Apply (<input type="checkbox"/> Category A / <input type="checkbox"/> Category B / <input type="checkbox"/> Category C) / <input type="checkbox"/> Not Apply

(1) Please enter the name of shore archive (GBS-SCF) for ships subject to SOLAS Chapter II-1 Regulation 3-10 and submit an "Application for ClassNK Archive Center Service" separately if apply.

(2) Please enter the name of other class if dual or double class.

CLASSIFICATION / REGISTRATION OF INSTALLATIONS not built under the Society's Survey

Classification	Classification Characters and Notations applying for	NS MNS
	Descriptive Note(s)	
	Notations for Guideline	<input type="checkbox"/> Environmental Awareness (EA + _____) <input type="checkbox"/> Ballast Water Treatment System (BWTS) <input type="checkbox"/> High Voltage Shore supply System (HVSS) <input type="checkbox"/> Inventory of Hazardous Materials (IHM) <input type="checkbox"/> Mechanical Vibration Awareness (MVA) <input type="checkbox"/> Noise and Vibration Comfort (NVC- _____)
	Special Description	<input type="checkbox"/> n.s. <input type="checkbox"/> n.f. <input type="checkbox"/> Other (Please specify): _____
Installations	<input type="checkbox"/> Safety Equipment <input type="checkbox"/> Radio Installations <input type="checkbox"/> Marine Pollution Prevention Installations <input type="checkbox"/> Crew Accommodation Arrangements (Applicable only to Japanese flag ships)	
	<input type="checkbox"/> Anti-Fouling Systems (<input type="checkbox"/> AFS <input type="checkbox"/> AFS-C) <input type="checkbox"/> Ballast Water Management Installations <input type="checkbox"/> Cargo Handling Appliances	
	<input type="checkbox"/> Automatic and Remote Control Systems (<input type="checkbox"/> MC <input type="checkbox"/> M0 <input type="checkbox"/> M0 · A <input type="checkbox"/> M0 · B <input type="checkbox"/> M0 · C <input type="checkbox"/> M0 · D) <input type="checkbox"/> Preventive Machinery Maintenance Systems	
	<input type="checkbox"/> Cargo Refrigerating Installations (<input type="checkbox"/> RMC <input type="checkbox"/> RMC · CA) <input type="checkbox"/> Navigation Bridge Systems (<input type="checkbox"/> BRS <input type="checkbox"/> BRS1 <input type="checkbox"/> BRS1A)	
	<input type="checkbox"/> Integrated Fire Control Systems (<input type="checkbox"/> IFC · M <input type="checkbox"/> IFC · A <input type="checkbox"/> IFC · AM) <input type="checkbox"/> Other (Please specify): _____	

Attachments: Form 3A-1 Form 3A-2 Form 3A-3 Form 3A-4-1 Form 3A-4-2 4A

BILLING CONTACT ※ Please complete the following only in cases where the billing contact and applicant are different.

Name Address	Signature and/or Official Stamp
	Name in Block Capitals
	TEL
	FAX

For NK internal use	Receipt Date	Receipt No.
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Remarks:

- Please send this form to the nearest ClassNK branch office.
- Please attach the plans and documents stipulated in the ClassNK Guidance for the Survey and Construction of Ships.
- If any of the information provided in this form changes or if undecided items have been determined, please inform the nearest ClassNK branch office.

EFFECTIVE DATE AND APPLICATION

1. The effective date of the amendments is 8 September 2017.