

Subject:

Assessment for Safety Management System
according to the ISM Code

NKTECHNICAL INFORMATION

No.: 177 (Revised)

Date: 1st February, 1996

To Shipowners concerned

NK has established the "Rules for Assessment and Registration of Safety Management System according to the International Safety Management Code (ISM Code)" on September 1994, and NK has already issued the DOCs(Document of Compliance) to 42 companies, and the SMCs (Safety Management Certificate) to 111 ships by the end of January, 1996. The lists of the Registered Companies and Ships shall be attached. Please refer attached No.1.

NK has also reviewed the above mentioned Rules and Guidance according to the "Guidelines on the implementation of the ISM Code by Administrations" adopted by the IMO on November 1995 as Resolution A.788(19) and the "IACS Procedural Guidelines for ISM Code Certification" adopted by the IACS council on August 1995. And NK has issued the revised "Rules and Guidance for Assessment and Registration of Safety Management System" which has become effective on 1st January 1996.

Major revised points are as follows.

1. Annual Follow-up Assessment for SMC was deleted, and Intermediate Assessment for SMC which is to be conducted once five(5) years was added.
2. NK shall issue the Interim DOC, valid for twelve(12) months, to a Company following a demonstration that the Company has an SMS that meets the objectives of the ISM Code. Also NK shall issue the Interim SMC, valid for six(6) months, to new ships on delivery, and when a Company takes on responsibility for the management of a ship which is new to the Company.

Please refer attached revised Rules and Guidance.

Also NK has established the "Regulations for Appointment of ISM Assessors" on December, 1995, and NK has 10 Lead Assessors who were appointed according to the above mentioned Regulations at the end of January, 1996.

ClassNK NIPPON KAIJI KYOKAI
4-7, KIOI-CHO, CHIYODA-KU,
TOKYO 102, JAPAN.

TEL: 03-3230-1201
FAX: 03-3230-3524
TELEX: 22975 CLASSNKJ
2324280 CLASNKJ
CABLE: CLASSNK TOKYO

And NK has implemented the training which NK has established according to the guidelines issued by IMO and IACS, 70 surveyors have been already trained by the end of 1995. During the year of 1996, around 80 surveyors shall be trained and obtain the qualification of the ISM Assessors, and by the end of July 1998, NK shall have around 200 ISM Assessors through above mentioned training.

NK has been authorized by the following Administrations for issuing the DOC/SMC on behalf of the Administraion.

Bahamas, Barbados, Vanuatu, Brunei, Seychells, Phillipines

And NK's Rules mentioned above has been approved by the Panamanian Government, and the authorization of the ISM Code Certification is assumed to be given by the Panamanian Government when the ISM Code will become mandatory.

NK shall obtain the arthorization for issuing the DOC/SMC on behalf of the Administration from at least 20 Administrations during 1996. And when ISM Code will become mandatory, NK shall conduct the special transitional arrangements of the DOC/SMC which NK will issue on behalf of the Administration.

NK has prepare the Logo Mark which shows the Safety Management System of the Company holding the DOC issued by NK complies with the ISM Code. NK shall provide the such Logo Mark to the Registered Company. Please refer attached No.3.

The applications of the Assessment for Registration of the Company and Shipboard will be expected to be concentrated during 1 year before 1st July, 1998 which ISM Code will become mandatory. Therefore, please apply us the Assessment for Registration for ISM Code Certification as soon as possible.

Attachments;1.List of Registered Companies and Ships.

2.Rules and Guidance for Assessment and Registration of Safety Management System according to the ISM Code.

3.Criteria of usage for Safety Management System Registration Marks.